



DISCLOSURE DOCUMENT

(AS PER THE REQUIREMENT OF THE REGULATION 18 OF
SECURITIES AND EXCHANGE BOARD OF INDIA
(INVESTMENT ADVISERS) REGULATIONS, 2013)



Growthvine Capital Private Limited

SEBI Registered Investment Adviser
Non-Individual, Private Limited Company
Registration number - INA000018665
Validity - Dec 11, 2023 - Perpetual

Registered office:

X-37, Loha Mandi, Naraina Industrial Area, South West Delhi, New Delhi, NCT of Delhi – 110028

Correspondence office:

C-376 A, 1st Floor, Sushant Lok-1, C-Block, Gurgaon, Haryana - 122002

Objective:

The Document aims to furnish fundamental and vital details regarding Investment Advisory Services, aiding potential clients in making well-informed decisions when considering the engagement of an Investment Advisor for their service needs.

About the Company:

Growthvine Capital Private Limited ('Investment Adviser' or 'Growthvine') is a Private Limited Company registered under the provisions of the Companies Act 2013. Growthvine is registered with the Securities and Exchange Board of India ("SEBI") as an Investment Advisor under SEBI (Investment Advisers) Regulations, 2013 ("IA regulations") vide registration no. INA000018665, pursuant to which it provides investment advisory services to its clients.

About Business activities:

Scope of Services to be provided by the Advisor will be limited/ subject to the Advisory services which are permitted activity under SEBI (Investment Advisers) Regulations, 2013 including guidelines issued by SEBI and the Advisor shall act in a fiduciary capacity.

The Scope of Services covered is as follows:

- a) Advise the Client regarding the Securities to be purchased or sold or Investments to be made as per the applicable law for the time being in force and Investment Pattern applicable to class of investor
- b) Portfolio advisory
- c) Comprehensive financial planning, which will include goal-based planning, estate planning, retirement planning, etc.
- d) Wealth management
- e) Review, evaluate, structure and monitor Investments so as to achieve the Client's objectives;
- f) Periodic Macroeconomic/ Fixed Income/ other reports and regulatory reports/releases.

Any other services as may be mutually agreed between the Advisor and the Client as per the applicable law for the time being in force.

Terms & Conditions for Advisory Services:

- i. Existing clients, who wish to take advisory services, will not be eligible for availing distribution services within the group of Growthvine. Similarly, existing clients who wish to take distribution services will not be eligible for availing advisory services within the group of Growthvine.
- ii. A new client will be eligible to avail either advisory or distribution services within the group of Growthvine. The option to avail either advisory services or distribution services shall be made available to each client at the time of on boarding.
- iii. Growthvine will provide Investment Advisory Services, for an agreed fee structure for a definite period of time and which may vary / change from time to time, entirely at the Client's risk.

- iv. Growthvine shall, wherever available, advice direct plans (non-commission based) of products only.
- v. The detailed terms and conditions are as per the Agreement executed between Client and Growthvine.
- vi. The rights and obligations, terms and conditions of the Advisory Services will be reduced in writing at the time of on boarding the Client.

Disciplinary History:

The Company has not faced any regulatory actions.

Affiliation with other intermediaries:

Growthvine is an independent company and has no affiliation with any other intermediaries.

Disclosure about conflict of interest:

Growthvine for its own proprietary purposes may invest / divest in various securities / investments, from time to time at its own discretion which will be undertaken by a separate team. The said segregation will ensure avoidance of conflict of interest with regard to the investment advisory and related business of the Company. Such proprietary investment transactions may at times be contrary to the investment advice.

Growthvine does not have Actual or potential conflicts of interest arising from any connection to or association with any issuer of products/ securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of investment advisory services

Disclosure of all material facts relating to the key features of the products or securities, particularly, performance track record, warnings, disclaimers etc.

Growthvine while providing investment advice shall make adequate disclosure to the Client of all material facts relating to the key features of the products/ securities including performance track record, warnings and disclosures.

Growthvine and the Investment Advisers of Growthvine who provide the investment advice to the clients, shall draw the client's attention to the warnings, disclaimers in documents, advertising materials relating to investment products which he/she/they is/are recommending to the client/s.

Clients are requested to go through the detailed key features, performance track record of the product, or security including warnings, disclaimers etc. before investing as and when provided by the Investment Advisor. Such product materials may also be available to www.sebi.gov.in or www.nseindia.com or www.bseindia.com.

Other Disclosures:

The Principal Officer and the persons associated with investment advice may have holding or position in the financial products or securities which are subject matter of advice.

Standard Disclaimer:

Registration granted by SEBI, membership of BASL and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

There is no assurance or guarantee that the objectives of the portfolio/ Investment Advisory Services will be achieved. Please note that past performance of the financial products, instruments and the portfolio does not necessarily indicate the future prospects and performance thereof. Such past performance may or may not be sustained in future. Investment Advisor's decisions may not be always profitable, as actual market movements may be at variance with anticipated trends. The investors are not being offered any guaranteed or assured returns. Investors are also advised to refer to the risk factors, disclaimers and other disclosures associated with Investment Advisory Services.

Investment in securities market are subject to market risks. Read all the related documents carefully before investing.

Details of Principal Officer:

Mr. Shubham Gupta
Contact no. – 8879974537
Email – shubham@growthvine.in

In case of any grievances the investors may email to shubham@growthvine.in

In case you are not satisfied with the response, or your grievance is still unresolved, you may register your complaints with SEBI through SEBI Complaint Redressal System (SCORES) at <https://scores.sebi.gov.in/>.

SEBISCORES app IOS Link: <https://apps.apple.com/in/app/sebiscORES/id6478849917>

SEBISCORES app android Link: <https://play.google.com/store/apps/details?id=com.sebi&hl=en>